



Attorney's Docket No. 003660.P019DCX

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Marc Alaia

Application No.: 09/490,868

Filed: January 24, 2000

For: METHOD AND system FOR DISGUISED
PRICE BIDDING IN ONLINE
AUCTIONS

Examiner: Felten, Daniel S.

Art Unit: 3624

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on August 7, 2006.

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Michelle Begay

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Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- _____ 37 C.F.R. §1.97(b).
- X 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- _____ A statement pursuant to 37 C.F.R. §1.97(e) or
- X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- _____ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
 - (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: August 7, 2006



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Substitute for Form 1449/PTO

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/940,868
Filing Date	1/24/2000
First Named Inventor:	Sam E. Kinney, JR
Art Unit	3624
Examiner Name	Felten, Daniel S.
Attorney Docket Number	003660.P019DCX

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US-	3,863,060	1/28/1975	Rode et al.	
		US-	4,597,045	6/24/1986	Kiuchi	
		US-	4,799,156	1/17/1989	Shavit et al.	
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		US-	5,629,982	5/13/1997	Micali	
		US-	5,640,569	6/17/1997	Miller et al.	
		US-	5,721,735	2/24/1997	Smiroldo	
		US-	5,799,285	8/25/1998	Klingman	
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		US-	5,862,223	1/19/1999	Walker et al.	
		US-	5,890,138	3/30/1999	Godin et al.	
		US-	5,897,621	4/27/1999	Boesch et al.	
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		US-	5,966,699	10/12/1999	Zandi	
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		US-	6,401,080 B	6/4/2002	Bigus et al.	
		US-	6,408,283 B1	6/18/2002	Alaia et al.	
		US-	6,415,269 B	7/2/2002	Dinwoodie	

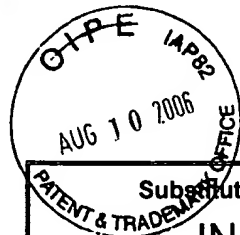
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/940,868
Filing Date	1/24/2000
First Named Inventor:	Sam E. Kinney, JR
Art Unit	3624
Examiner Name	Felten, Daniel S.
Attorney Docket Number	003660.P019DCX

Sheet 2 of 4

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-	6,499,018 B1	12/24/2002	Alaia et al.	
		US-	6,850,907 B	2/1/2005	Lutnick et al.	
		US-	US 2004/0059646 A1	3/25/2004	Harrington et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
			CA 2,069,955		6/9/1991	Lawlor et al.		
			CA 2,080,452		5/16/1993	Rosen		
			CA 2,298 481		9/11/1998	Johnson et al.		
			EP 0 399 850 A		11/28/1990	Silverman		
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			WO 92/15174		9/3/1992	Grusin et al.		
			WO 98/34187 A1		8/6/1998	Grofhauge et al.		
			WO 99/63461		12/9/1999	Dinwoodie		
			WO 00/17797		3/30/2000	Alaia et al.		
			WO 00/79463 A1		12/28/2000	Ephrati et al.		
			WO 01/54040 A1		7/26/2001	Kinney et al.		

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		Filing Date	1/24/2000
		First Named Inventor:	Sam E. Kinney, JR
		Art Unit	3624
		Examiner Name	Felten, Daniel S.
		Attorney Docket Number	003660.P019DCX
Sheet	AUG 10 2006	3 of 4	
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Trade Mark Office	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		J. Sairamesh, et al., "Economic Framework for Pricing and Charging in Digital Libraries," D-Lib Magazine, ISSN 1082-9873 (February 1996)	
		Todd E. Rockoff and Michael Groves, "Design of an Internet-based system for remote Dutch auctions," Internet Research: Electronic Networking Applications and Policy, Vol. 5, No. 4, pp. 10-16 (1995)	
		Online Bidding Software," Electronic Buyers' News, Issue No. 1072, p. 86, 1/6p (August 25,, 1997)	
		"FairMarket Launches New Self-Serve Auctions," Business Wire, p6161495 (June 16, 1998)	
		"Broadvision Developing First Interactive Commerce Management System to Support Online Sales & Marketing Process; New Software Category Necessary to Interactive Network Architecture," Business Wire, p. 5150152 (May 15, 1995)	
		Lee, Ho Geun, "Do electronic marketplaces lower the price of goods/" Communications of the PCM, V. 41, No. 1, pp. 73-80 (January 1998)	
		"Sold!... To the Lowest Bidder," Computer Finance, Vol. 6, No. 2 (July 1995)	
		"Venture Capitalists Fund Two Massachusetts Internet Related Companies," Boston Globe (January 14, 1998)	
		Von det Fehr, et al., "Predatory bidding in Sequential Auctions," Oxford Economic Papers, Vol. 46, No. 3, p. 345(12) (July 1994)	
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		Kikuchi, et al., "Multi-Round Anonymous Auction Protocols," IEICE Trans. Inf. & Syst., Vol. E82-D, No. 4 (April 1999)	
		Vigoroso, "Buyers prepare for brave new World of E-Commerce," Purchasing, Vol. 126, No. 6, p. S4(1) (April 22, 1999)	
		"What you need to know to bid in FCC's Narrowband Auction," Washington Telecom News, Vol. 2, No. 26, p. 6(2) (June 27, 1994)	
		Fox, "The Calm Way of Buying and Selling Livestock," Sydney Morning Herald (October 1988)	
		"SHOPPERSUNIVERSE," (August 27, 1996)	
		Jackson, "FCC Charges for Online Bidding," Government Computer News (January 27, 1997)	
		"Ingram Micro Launches Real-Time ON-Line Auction Site," PR Newswire (February 11, 1998)	

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		"Adauction.com Boosts Revenue with Enhanced Ad 'Auction' Format; Morning and Afternoon 'Auctions' and 'AutoExtend' Feature Maximize Opportunistic Media Buying on the Web," Business Wire (May 4, 1998)	
		Woods, "Excite Calls Online Auctions," Newsbytes News Network (June 10, 1998)	
		"Internet: Excite Going Once, Twice, Three Times to Auction," Network Briefing (June 11, 1998)	
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		M. Reck, "Types of Electronic Auctions," Hochschule St. Gallen	
		C. Wrigley, "Design Criteria for Electronic Market Servers," Electronic Markets, Vol. 7, No. 4 (1997)	
		"Shawmut National Will Auction \$46 Million In Commercial Real Estate in December," Business Wire, Business Editors (November 3, 1992)	
		Wurman et al., "The Michigan Internet AuctionBot: A Configurable Auction Server for Human and Software Agents," Artificial Intelligence Laboratory, University of Michigan (1998)	
		Buyer's Auction Online, http://buyersauction.com/userinfo.htm (1998)	
		Keith Ervin, "Three Tree Point Property Draws 1.5 Million Bid," Seattle Times (February 11, 1998)	

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